## INFORMATION DISCLOSURE STATEMENT

**Applicant** 

Poponin

App. No.

Unknown

Filed

Herewith

For

APPARATUS AND METHOD FOR THE

ANALYSIS OF NUCLEIC ACIDS

HYBRIDIZATION ON HIGH DENSITY

NA CHIPS

Examiner

Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Enclosed is form PTO-1449 listing 30 references that are of record in U.S. patent application No. 09/876,298, filed June 7, 2001, which is the parent of this Continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed with an RCE or within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

By:

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: February 6, 2004

Andrew N. Merickel Registration No. 53,317

Attorney of Record Customer No. 20,995

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. VIRTP.002DV1C1	APPLICATION NO. Unknown
+	DISCLOSURE STATEMENT Y APPLICANT	APPLICANT Vladimir POPONIN	
(USE SEVERAI	L SHEETS IF NECESSARY)	FILING DATE Herewith	GROUP Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	5,244,636	09/14/93	Walt et al.	422	82.07	
	2.	5,629,213	05/1997	Komguth et al.	436	518	
•	3.	5,695,940	12/09/97	Dramanc et al.	435	6	
	4.	5,814,516	09/29/98	Vo-Dinh	435	287.2	
	5.	5,821,060	10/13/98	Arlinghaus et al.	435	6	
	6.	5,866,330	02/02/99	Kinzler et al.	435	6	
	7.	5,871,628	02/16/99	Dabiri et al.	204	461	
	8.	5,874,219	02/23/99	Rava et al.	435	6	
	9.	5,905,024	05/18/99	Mirzabekov et al.	435	6	-
	10.	5,908,745	06/01/99	Mirzabekov et al.	435	6	
	11.	5,919,626	07/06/99	Shi et al.	435	6	
	12.	5,928,862	07/27/99	Morrison	435	6	
	13.	5,932,711	08/03/99	Boles et al.	536	22.1	
	14.	5,952,174	09/14/99	Nikiforov et al.	435	6	· · · · · · · · · · · · · · · · · · ·

	FOREIGN PATENT DOCUMENTS							
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL			:				YES	NO
	15.	WO 9705280 A1	02/1997	wo				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)				
	16.	Adams, M.D., "Expressed Sequence Tags as Tools for Physiology and Genomics," The Institute for Genomic Research. Chapter 10, pp. 71-76.			
	17.	Bauer et al., "Microarray based optical biochip with nanometric resolution," Institut fur Biochemie und Molekulare Zellbiologie, Universitat Wien.			
		Bauer et al., "Optical Nanocluster Microchips for Human Diagnostics," Institut fur Biochemie und Molekulare Zellbiologie und Ludwig Bolzmann- Forschungsstelle fur Biochemie, Universitat Wien.			
	19.	Bej, A. K., "Nucleic Acid Hybridizations: Principles and Strategies," Nucleic Acid Analysis: Principles and Bioapplications, pp. 1-29 (1996).			

EXAMINER	DATE CONSIDERED
 *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WIT	· · · · · · · · · · · · · · · · · · ·

<b>FORM</b>	PTO	-1449
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. VIRTP.002DV1C1

APPLICATION NO. Unknown

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT
Vladimir POPONIN

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE GROUP
Herewith Unknown

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
	20.	Chumanov et al., "Surface Enhanced Raman Scattering for Discovering and Scoring Single Base Differences in DNA," Proceedings SPIE, Vol. 3608 (1999).				
	21.	Cotton et al., "Application of Surface-Enhanced Raman Spectroscopy to Biological Systems," <u>Journal of Raman Spectroscopy</u> , Vol. 22, pp. 729-742 (1991				
	22.	Deckert et al., 'Near-Field Surface Enhanced Raman Imaging of Dye-Labeled DNA with 100-nm Resolution," Analytical Chemistry, Vol. 70, pp. 2646-2650 (1998).				
	23.	Dramanc et al., "Sequencing by Hybridization," Integral Genetics Group, Biological and Medical Research Division, Argonne National Laboratory, Chapter 4, pp. 29-36.				
	24.	Emory et al., "Near-Field Surface-Enhanced Raman Spectroscopy on Single Silver Nanoparticles," Anal. Chem., Vol. 69, pp. 2631-2635 (1997).				
	25.	Guschin et al., "Manual Manufacturing of Oligonucleotide, DNA, and Protein Microchips," Analytical Biochemistry, Vol. 250, pp. 203-211 (1997).				
	26.	Kneipp et al., "Single Molecule Detection Using Surface-Enhanced Raman Scattering (SERS)," The American Physical Society, Vol. 78, No. 9 (1997).				
	27.	Kneipp et al., "Surface Enhanced Raman Spectroscopy on Nucleic Acids and Related Compounds Adsorbed on Colloidal Silver Particles," <u>Journal of Molecular Structure</u> , Vol. 244, pp. 183-192 (1991).				
	28.	Martin-Gallardo et al., "Shotgun Sequencing," <u>Human Genome Center, Biology and Biotechnology Program, Lawrence Livermore National Laboratory.</u> Chapter 5, pp. 37-41.				
•	29.	Thomas, G. J., Jr., "Raman Spectroscopy of Protein and Nucleic Acid Assemblies," Annu. Rev. Biophys. Biomol. Struct., Vol. 28, pp. 1-27 (1999).				
	30.	Vo-Dinh et al., "Surface-Enhanced Raman Gene Probes," Anal. Chem., Vol. 66, pp. 3379-3383 (1994).				

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